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APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/924,153

08/07/2001

Nathan G. Woodard

55114 (71850)

CONFIRMATION NO. 1475

Date Mailed: 09/24/2001

FORMALITIES LETTER

OC000000006781971

Dike, Bronstein, Roberts & Cushman Intellectual Property Practice Group of EDWARDS & ANGELL, LLP P.O. BOX 9169 Boston, MA 02209

ON 15 200 PENDENNE N

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2908.
 - \$1998 for 111 total claims over 20.
 - \$640 for 8 independent claims over 3.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3748.

10/17/2001 BSAYASI1 00000050 09924153

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Receipt \$\$



Attorney Docket No. 55114 (71850)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

LICANT: N. Woodard, et al.

EXAMINER: Unassigned

SERIAL NO.: 09/924,153

GROUP:

3743

FILED:

August 7, 2001

FOR:

DEVICE FOR COOLING A BEARING; FLYWHEEL ENERGY STORAGE

SYSTEM USING SUCH A BEARING COOLING DEVICE AND METHOD

RELATED THERETO

BOX MISSING PARTS Assistant Commissioner for Patents Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on October 12, 2001.

Fatima H. DeArruda

RESPONSE TO NOTICE TO FILE MISSING PARTS FILING DATE GRANTED AND REQUEST FOR CORRECTED OFFFICIAL FILING RECEIPT

Sir:

The following is in response to the Notice to File Missing Parts-Filing Date Granted (PTO-1533) mailed September 24, 2001, in the above referenced application.

Applicant believes that no extension of time is required since this response is being filed before the expiration of the specified time period. Applicant, however, conditionally petitions for an extension of time to provide for the possibility that such a petition has been inadvertently overlooked and is required. As provided below charge Deposit Account No. **04-1105** for any required fee.

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RESPONSE TO MISSING PARTS NOTICE

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Enclosed herewith for filing in the subject application are the following:

- 1. A copy of the Notice to File Missing Parts-Filing Date Granted for the subject application;
- 2. A properly signed oath or declaration in compliance with 37 CFR 1.63 with power of attorney, identifying the application by the above Application Number and date;
- Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(b) – Independent Inventor;
- 4. Nine (9) sheets of Formal Drawings, Nineteen (19) Figures total; and

Completion of application filing fees for a small entity as calculated below:

1.	Statutory basic filing fee		\$370.00
2.	Surcharge for late payment of filing fee and/or late filing		
	of original declaration or oath		\$ 65.00
3.	Fee for 111 claims over 20		\$999.00
4.	Fee for 8 independent claims over 3		\$336.00
5.	Fee for multiple dependent claim		\$140.00
		TOTAL	\$1,910.00

Enclosed herewith is a check for \$1,910.00 in payment of the total fee due.

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RESPONSE TO MISSING PARTS NOTICE

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Applicant also respectfully requests that the official filing receipt be updated as required to reflect any additional, corrected or revised information provided herewith.

Applicant believes that additional fees are not required, however, if for any reason a fee is required, a fee paid is inadequate or credit is owed for any excess fee paid, you are hereby authorized and requested to charge Deposit Account No. **04-1105**. In particular, the Commissioner is hereby authorized to charge any deficiencies in the following fees: filing fees (37 C.F.R. §1.16(a), (f) or (g)); fees associated with the presentation of extra claims (37 C.F.R. §1.16(b), (c) and (d)); surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application (37 C.F.R. §1.16(e)); extension fees pursuant to § 1.136(a) (37 C.F.R. §1.17(a)(1)-(5)); and application processing fees (37 C.F.R. §1.17).

By:

Respectfully submitted,

Date: October 12, 2001

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